Form **8879-EO**

Department of the Treasury
Internal Revenue Service

# IRS e-file Signature Authorization for an Exempt Organization

For calendar year 2020, or fiscal year beginning APR 1, 2020, and ending MAR 31, 2021

▶ Do not send to the IRS. Keep for your records.

▶ Go to www.irs.gov/Form8879EO for the latest information.

OMB No. 1545-0047

**2020**

| Name of exempt organization or person subject to tax | Taxpayer identification number |
|---|---|
| PETER G. PETERSON FOUNDATION | 26-0316905 |

Name and title of officer or person subject to tax
MICHAEL SHANKMAN
TREASURER

## Part I Type of Return and Return Information (Whole Dollars Only)

Check the box for the return for which you are using this Form 8879-EO and enter the applicable amount, if any, from the return. If you check the box on line **1a, 2a, 3a, 4a, 5a, 6a,** or **7a** below, and the amount on that line for the return being filed with this form was blank, then leave line **1b, 2b, 3b, 4b, 5b, 6b,** or **7b,** whichever is applicable, blank (do not enter -0-). But, if you entered -0- on the return, then enter -0- on the applicable line below. **Do not** complete more than one line in Part I.

| | | | |
|---|---|---|---|
| **1a** **Form 990** check here ▶ | **b** **Total revenue,** if any (Form 990, Part VIII, column (A), line 12) | **1b** | |
| **2a** **Form 990-EZ** check here ▶ | **b** **Total revenue,** if any (Form 990-EZ, line 9) | **2b** | |
| **3a** **Form 1120-POL** check here ▶ | **b** **Total tax** (Form 1120-POL, line 22) | **3b** | |
| **4a** **Form 990-PF** check here ▶ X | **b** **Tax based on investment income** (Form 990-PF, Part VI, line 5) | **4b** | 106,126. |
| **5a** **Form 8868** check here ▶ | **b** **Balance due** (Form 8868, line 3c) | **5b** | |
| **6a** **Form 990-T** check here ▶ | **b** **Total tax** (Form 990-T, Part III, line 4) | **6b** | |
| **7a** **Form 4720** check here ▶ | **b** **Total tax** (Form 4720, Part III, line 1) | **7b** | |

## Part II Declaration and Signature Authorization of Officer or Person Subject to Tax

Under penalties of perjury, I declare that [X] I am an officer of the above organization or [ ] I am a person subject to tax with respect to (name of organization) ____________, (EIN) ____________ and that I have examined a copy of the 2020 electronic return and accompanying schedules and statements, and, to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the return to the IRS and to receive from the IRS **(a)** an acknowledgement of receipt or reason for rejection of the transmission, **(b)** the reason for any delay in processing the return or refund, and **(c)** the date of any refund. If applicable, I authorize the U.S. Treasury and its designated Financial Agent to initiate an electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment. I have selected a personal identification number (PIN) as my signature for the electronic return and, if applicable, the consent to electronic funds withdrawal.

**PIN: check one box only**

[X] I authorize KPMG LLP to enter my PIN 01222
ERO firm name
Enter five numbers, but do not enter all zeros

as my signature on the tax year 2020 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I also authorize the aforementioned ERO to enter my PIN on the return's disclosure consent screen.

[ ] As an officer or person subject to tax with respect to the organization, I will enter my PIN as my signature on the tax year 2020 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I will enter my PIN on the return's disclosure consent screen.

Signature of officer or person subject to tax ▶ M. Shankman Date ▶ 2/10/22

## Part III Certification and Authentication

**ERO's EFIN/PIN.** Enter your six-digit electronic filing identification number (EFIN) followed by your five-digit self-selected PIN. 54028001222
Do not enter all zeros

I certify that the above numeric entry is my PIN, which is my signature on the 2020 electronically filed return indicated above. I confirm that I am submitting this return in accordance with the requirements of **Pub. 4163,** Modernized e-File (MeF) Information for Authorized IRS *e-file* Providers for Business Returns.

ERO's signature ▶ Margaret A. Bradshaw Date ▶ 2/10/2021

**ERO Must Retain This Form - See Instructions**
**Do Not Submit This Form to the IRS Unless Requested To Do So**

Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0047

**2020**

Open to Public Inspection

For calendar year 2020 or tax year beginning APR 1, 2020 , and ending MAR 31, 2021

Name of foundation: PETER G. PETERSON FOUNDATION

A Employer identification number: 26-0316905

Number and street (or P.O. box number if mail is not delivered to street address): 888-C EIGHTH AVENUE BOX #144 | Room/suite:

B Telephone number: 212-542-9200

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10019

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 983,527,201.

J Accounting method: ☐ Cash [X] Accrual ☐ Other (specify) (Part I, column (d), must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

| | Line | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | 0. | | | |
| | 2 Check ▶ [X] if the foundation is not required to attach Sch. B | | | | |
| | 3 Interest on savings and temporary cash investments | 805,158. | 805,158. | | |
| | 4 Dividends and interest from securities | 247,263. | 7,794,609. | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 6,836,141. | | | |
| | b Gross sales price for all assets on line 6a 40,584,754. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 11,410,528. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less: Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | 207,387. | -2,507,516. | 0. | STATEMENT 1 |
| | 12 **Total.** Add lines 1 through 11 | 8,095,949. | 17,502,779. | 0. | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc. | 2,271,810. | 0. | 0. | 2,271,810. |
| | 14 Other employee salaries and wages | 4,895,042. | 0. | 0. | 4,895,042. |
| | 15 Pension plans, employee benefits | 2,008,078. | 0. | 0. | 2,008,078. |
| | 16a Legal fees STMT 2 | 157,772. | 0. | 0. | 144,873. |
| | b Accounting fees STMT 3 | 178,900. | 0. | 0. | 166,935. |
| | c Other professional fees STMT 4 | 3,682,105. | 2,281,884. | 0. | 1,529,685. |
| | 17 Interest | | | | |
| | 18 Taxes STMT 5 | 2,818,752. | 260,395. | 0. | 0. |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | 3,132. | 0. | 0. | 55,991. |
| | 22 Printing and publications | 896. | 0. | 0. | 10,347. |
| | 23 Other expenses STMT 6 | 5,448,100. | 7,325,545. | 0. | 5,143,192. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 21,464,587. | 9,867,824. | 0. | 16,225,953. |
| | 25 Contributions, gifts, grants paid | 27,044,422. | | | 23,047,450. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 48,509,009. | 9,867,824. | 0. | 39,273,403. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a Excess of revenue over expenses and disbursements | -40,413,060. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 7,634,955. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | 0. | |

## Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only.

| | | Beginning of year (a) Book Value | End of year (b) Book Value | End of year (c) Fair Market Value |
|---|---|---|---|---|
| Assets | 1 Cash - non-interest-bearing | 1,033,622. | 1,368,927. | 1,368,927. |
| | 2 Savings and temporary cash investments | 156,101,998. | 190,067,414. | 190,067,414. |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | 885,150. | 1,062,084. | 1,062,084. |
| | 10a Investments - U.S. and state government obligations | | | |
| | b Investments - corporate stock STMT 8 | 19,784,458. | 927,150. | 927,150. |
| | c Investments - corporate bonds | | | |
| | 11 Investments - land, buildings, and equipment: basis ▶ | | | |
| | Less: accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other STMT 9 | 639,127,276. | 785,461,235. | 785,461,235. |
| | 14 Land, buildings, and equipment: basis ▶ | | | |
| | Less: accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ STATEMENT 10 ) | 4,459,404. | 4,640,391. | 4,640,391. |
| | 16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I) | 821,391,908. | 983,527,201. | 983,527,201. |
| Liabilities | 17 Accounts payable and accrued expenses | 1,051,551. | 1,117,198. | |
| | 18 Grants payable | 16,677,515. | 20,674,486. | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ STATEMENT 11 ) | 233,404. | 3,068,087. | |
| | 23 **Total liabilities** (add lines 17 through 22) | 17,962,470. | 24,859,771. | |
| Net Assets or Fund Balances | **Foundations that follow FASB ASC 958, check here** ▶ X **and complete lines 24, 25, 29, and 30.** | | | |
| | 24 Net assets without donor restrictions | 803,429,438. | 958,667,430. | |
| | 25 Net assets with donor restrictions | | | |
| | **Foundations that do not follow FASB ASC 958, check here** ▶ ☐ **and complete lines 26 through 30.** | | | |
| | 26 Capital stock, trust principal, or current funds | | | |
| | 27 Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 28 Retained earnings, accumulated income, endowment, or other funds | | | |
| | 29 **Total net assets or fund balances** | 803,429,438. | 958,667,430. | |
| | 30 **Total liabilities and net assets/fund balances** | 821,391,908. | 983,527,201. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 803,429,438. |
| 2 | Enter amount from Part I, line 27a | 2 | -40,413,060. |
| 3 | Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7 | 3 | 195,651,052. |
| 4 | Add lines 1, 2, and 3 | 4 | 958,667,430. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29 | 6 | 958,667,430. |

## Part IV Capital Gains and Losses for Tax on Investment Income

SEE ATTACHED STATEMENT

| | (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) ((e) plus (f) minus (g)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | 40,584,754. | | 33,560,283. | 11,410,528. |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. | | | | |
|---|---|---|---|---|
| | (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | 11,410,528. |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | 11,410,528. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 | 3 | N/A |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE.**

1 Reserved

| (a) Reserved | (b) Reserved | (c) Reserved | (d) Reserved |
|---|---|---|---|
| Reserved | | | |
| Reserved | | | |
| Reserved | | | |
| Reserved | | | |
| Reserved | | | |

| | | | |
|---|---|---|---|
| 2 | Reserved | 2 | |
| 3 | Reserved | 3 | |
| 4 | Reserved | 4 | |
| 5 | Reserved | 5 | |
| 6 | Reserved | 6 | |
| 7 | Reserved | 7 | |
| 8 | Reserved | 8 | |

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

| | | | | |
|---|---|---|---|---|
| 1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions) | | | | |
| b Reserved | | | 1 | 106,126. |
| c All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b) | | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | | | 2 | 0. |
| 3 Add lines 1 and 2 | | | 3 | 106,126. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | | | 4 | 0. |
| 5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | | 5 | 106,126. |
| 6 Credits/Payments: | | | | |
| a 2020 estimated tax payments and 2019 overpayment credited to 2020 | 6a | 1,093,685. | | |
| b Exempt foreign organizations - tax withheld at source | 6b | 0. | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | 0. | | |
| d Backup withholding erroneously withheld | 6d | 0. | | |
| 7 Total credits and payments. Add lines 6a through 6d | | | 7 | 1,093,685. |
| 8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | | | 8 | 0. |
| 9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | | 9 | |
| 10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | 987,559. |
| 11 Enter the amount of line 10 to be: **Credited to 2021 estimated tax** ▶ | | 987,559. **Refunded** ▶ | 11 | 0. |

## Part VII-A Statements Regarding Activities

| | | Yes | No |
|---|---|---|---|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition | 1b | | X |
| If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | | |
| c Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0. | | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? | 2 | | X |
| If "Yes," attach a detailed description of the activities. | | | |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | 3 | | X |
| 4a Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | X | |
| b If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | X | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? | 5 | | X |
| If "Yes," attach the statement required by *General Instruction T.* | | | |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV | 7 | X | |
| 8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ NY | | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G?* If "No," attach explanation | 8b | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the tax year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV | 9 | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ WWW.PGPF.ORG

14 The books are in care of ▶ PETER G. PETERSON FOUNDATION Telephone no. ▶ 212-542-9200

Located at ▶ 888-C EIGHTH AVENUE BOX #144, NEW YORK, NY ZIP+4 ▶ 10019

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year, did the foundation (either directly or indirectly): | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2020, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2020? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____, ____, ____, ____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2020.) N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020? | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | Yes | No |
|---|---|---|---|
| **5a** During the year, did the foundation pay or incur any amount to: | | | |
| **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No | | | |
| **(2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No | | | |
| **(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No | | | |
| **(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No | | | |
| **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No | | | |
| **b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions N/A | 5b | | |
| Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐ | | | |
| **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No | | | |
| If "Yes," attach the statement required by Regulations section 53.4945-5(d). | | | |
| **6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No | | | |
| **b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X |
| If "Yes" to 6b, file Form 8870. | | | |
| **7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No | | | |
| **b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b | | |
| **8** Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☒ No | | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, and foundation managers and their compensation.**

| **(a)** Name and address | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation (**If not paid, enter -0-**) | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 12 | | 2,271,810. | 270,282. | 0. |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| **(a)** Name and address of each employee paid more than $50,000 | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| RIKARD TREIBER<br>888-C EIGHTH AVE, #144, NY, NY 10019 | SENIOR DIR, GRANT OPS<br>40.00 | 223,928. | 22,354. | 0. |
| DAVID GUILFOYLE<br>888-C EIGHTH AVE, #144, NY, NY 10019 | S/W ENG TECH LEAD/MGR<br>40.00 | 220,143. | 17,782. | 0. |
| JULIA MURPHY<br>888-C EIGHTH AVE, #144, NY, NY 10019 | DIR, DISSEMINATION & ADOPTION<br>40.00 | 210,470. | 21,031. | 0. |
| RUSS LEVSEN<br>888-C EIGHTH AVE, #144, NY, NY 10019 | SENIOR DIR, POLICY COM/CHIEF WRITER<br>40.00 | 208,390. | 20,823. | 0. |
| JEFFREY SELBERG<br>888-C EIGHTH AVE, #144, NY, NY 10019 | SENIOR ADVISOR<br>20.00 | 204,535. | 20,320. | 0. |

**Total** number of other employees paid over $50,000 ▶ 35

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| EAST END ADVISORS, LLC<br>610 FIFTH AVE. STE 506, NEW YORK, NY 10020 | INVESTMENT MGMT | 2,108,000. |
| ADOLESCENT CONTENT LLC<br>829 SUPERBA AVE., VENICE, CA 90291 | COMMUNICATIONS | 624,000. |
| RATIONAL 360<br>1828 L ST. NW, STE 640, WASHINGTON, DC 20036 | COMMUNICATIONS | 599,092. |
| TRANSFORMING CARE PARTNERS<br>2209 9TH AVENUE, SAN FRANCISCO, CA 94116 | RESEARCH | 494,373. |
| PURPLE STRATEGIES, LLC<br>815 SLATERS LANE, ALEXANDRIA, VA 22314 | COMMUNICATIONS | 470,325. |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 25 |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 SEE STATEMENT 13 | 29,357,929. |
| 2 SEE STATEMENT 14 | 9,516,793. |
| 3 SEE STATEMENT 15 | 2,806,314. |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions.<br>3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 72,446,071. |
| b | Average of monthly cash balances | 1b | 167,298,274. |
| c | Fair market value of all other assets | 1c | 755,552,502. |
| d | **Total** (add lines 1a, b, and c) | 1d | 995,296,847. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 995,296,847. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 14,929,453. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 980,367,394. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 49,018,370. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

| | | | |
|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | 1 | 49,018,370. |
| 2a | Tax on investment income for 2020 from Part VI, line 5 — 2a: 106,126. | | |
| b | Income tax for 2020. (This does not include the tax from Part VI.) — 2b: | | |
| c | Add lines 2a and 2b | 2c | 106,126. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 48,912,244. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 17,605. |
| 5 | Add lines 3 and 4 | 5 | 48,929,849. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 48,929,849. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 39,273,403. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | 44,173. |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4 | 4 | 39,317,576. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 39,317,576. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2019 | (c) 2019 | (d) 2020 |
|---|---|---|---|---|
| 1 Distributable amount for 2020 from Part XI, line 7 | | | | 48,929,849. |
| 2 Undistributed income, if any, as of the end of 2020: | | | | |
| a Enter amount for 2019 only | | | 34,023,904. | |
| b Total for prior years: ____, ____, ____ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2020: | | | | |
| a From 2015 | | | | |
| b From 2016 | | | | |
| c From 2017 | | | | |
| d From 2018 | | | | |
| e From 2019 | | | | |
| f **Total** of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2020 from Part XII, line 4: ▶ $ 39,317,576. | | | | |
| a Applied to 2019, but not more than line 2a | | | 34,023,904. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2020 distributable amount | | | | 5,293,672. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2020 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 0. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2020. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2021 | | | | 43,636,177. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2015 not applied on line 5 or line 7 | 0. | | | |
| 9 **Excess distributions carryover to 2021.** Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2016 | | | | |
| b Excess from 2017 | | | | |
| c Excess from 2018 | | | | |
| d Excess from 2019 | | | | |
| e Excess from 2020 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2020 | (b) 2019 | (c) 2018 | (d) 2017 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4, for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed | | | | | |
| c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

JOAN GANZ COONEY

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

PETER G. PETERSON FOUNDATION, 212-542-9200, INQUIRIES@PGPF.ORG
888-C EIGHTH AVENUE, BOX #144, NEW YORK, NY 10019

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT 19

c Any submission deadlines:

SEE STATEMENT 19

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT 19

**Part XV Supplementary Information** *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| **Total** SEE CONTINUATION SHEET(S) | | | ▶ **3a** | 23,047,450. |
| **b** *Approved for future payment* | | | | |
| | | | | |
| | | | | |
| **Total** SEE CONTINUATION SHEET(S) | | | ▶ **3b** | 15,980,500. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income (a) Business code | Unrelated business income (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | Excluded by section 512, 513, or 514 (d) Amount | (e) Related or exempt function income |
|---|---|---|---|---|---|
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 805,158. | |
| 4 Dividends and interest from securities | | | 14 | 247,263. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 6,836,141. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a PRI ACCRUED INTEREST INCOME | | | | | 207,387. |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 7,888,562. | 207,387. |

13 **Total.** Add line 12, columns (b), (d), and (e) ... 13 8,095,949.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| 11A | INTEREST INCOME FROM CIVICA RX - PROGRAM RELATED INVESTMENT IS USED TO |
| | PROVIDE HIGH-NEEDS POPULATIONS WITH TIMELY ACCESS TO ESSENTIAL GENERIC |
| | DRUGS AT AFFORDABLE PRICES. |

## Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | X | |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| (a) Line no. | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| B5 | 4,269,516. | CIVICA, INC. | SEE STATEMENT 16 |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? [X] Yes [ ] No

**b** If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| CIVICA, INC. | SOCIAL WELFARE ORG | SEE STATEMENT 17 |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee | Date | Title: TREASURER

May the IRS discuss this return with the preparer shown below? See instr. [X] Yes [ ] No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check [ ] if self-employed | PTIN |
|---|---|---|---|---|
| MARGARET A. BRADSHAW | Margaret A. Bradshaw | 2/11/22 | | P00501222 |

Firm's name ▶ KPMG LLP — Firm's EIN ▶ 13-5565207

Firm's address ▶ 8350 BROAD STREET, SUITE 900
MCLEAN, VA 22102 — Phone no. 703-286-8000

**Part IV** Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | PARTNERSHIP K-1 SHORT-TERM | P | | |
| b | PARTNERSHIP K-1 LONG-TERM | P | | |
| c | PUBLICLY TRADED SECURITIES - SHORT TERM | P | | |
| d | PUBLICLY TRADED SECURITIES - LONG TERM | P | | |
| e | OTHER SECURITIES | P | | |
| f | DISPOSITION GAIN PARTNERSHIP INTEREST | P | | |
| g | 457(F) CAPITAL GAIN - SHORT-TERM | P | | |
| h | 457(F) CAPITAL GAIN - LONG-TERM | P | | |
| i | | | | |
| j | | | | |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | -15,010,741. |
| b | | | | 19,396,798. |
| c | 16,395,790. | | 13,778,310. | 2,617,480. |
| d | 24,000,634. | | 19,781,973. | 4,218,661. |
| e | 185,706. | | | 185,706. |
| f | 281. | | | 281. |
| g | 624. | | | 624. |
| h | 1,719. | | | 1,719. |
| i | | | | |
| j | | | | |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---|---|---|---|---|
| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| a | | | | -15,010,741. |
| b | | | | 19,396,798. |
| c | | | | 2,617,480. |
| d | | | | 4,218,661. |
| e | | | | 185,706. |
| f | | | | 281. |
| g | | | | 624. |
| h | | | | 1,719. |
| i | | | | |
| j | | | | |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 | 11,410,528. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 | 3 | N/A |

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**Part XV Supplementary Information** (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| AMERICAN ENTERPRISE INSTITUTE FOR PUBLIC POLICY RESEARCH<br>1789 MASSACHUSETTS AVENUE, NW<br>WASHINGTON, DC 20036 | | PC | TO DEVELOP RESOURCES FOR THE STUDENT DEBT SMARTER PROGRAM TO EDUCATE YOUNG PEOPLE ABOUT PUBLIC POLICIES THAT AFFECT COLLEGE FINANCING. | 30,000. |
| AMERICAN ENTERPRISE INSTITUTE FOR PUBLIC POLICY RESEARCH<br>1789 MASSACHUSETTS AVENUE, NW<br>WASHINGTON, DC 20036 | | PC | TO SUPPORT THE IRVING KRISTOL AWARD. | 25,000. |
| BIPARTISAN POLICY CENTER<br>1225 EYE STREET NW, SUITE 1000<br>WASHINGTON, DC 20005 | | PC | TO PRODUCE BIPARTISAN RESEARCH AND ANALYSIS ON FISCAL AND ECONOMIC POLICY SOLUTIONS, INCLUDING POLICIES TO RECOVER FROM THE COVID-19 PANDEMIC. | 250,000. |
| BIPARTISAN POLICY CENTER*<br>1225 EYE STREET NW, SUITE 1000<br>WASHINGTON, DC 20005 | | PC | TO ANALYZE CURRENT TRENDS AND OPPORTUNITIES IN DIGITAL HEALTHCARE TECHNOLOGY, AND ITS ROLE IN IMPROVING QUALITY AND LOWERING COSTS. | 125,000. |
| BIPARTISAN POLICY CENTER<br>1225 EYE STREET NW, SUITE 1000<br>WASHINGTON, DC 20005 | | PC | TO SUPPORT THE PETER G. PETERSON FOUNDATION FISCAL INTERNSHIP PROGRAM. | 64,000. |
| BIPARTISAN POLICY CENTER<br>1225 EYE STREET NW, SUITE 1000<br>WASHINGTON, DC 20005 | | PC | TO PRODUCE INDEPENDENT, BIPARTISAN ANALYSIS OF THE SUSTAINABILITY OF SOCIAL SECURITY AND MEDICARE AND HIGHLIGHT THE NEED FOR PUBLIC TRUSTEES. | 50,000. |
| BRANDEIS UNIVERSITY*<br>THE HELLER SCHOOL, PO BOX 549110<br>WALTHAM, MA 02454 | | PC | TO SUPPORT THE PRINCETON CONFERENCE, WHICH PROVIDES HEALTH CARE PROFESSIONALS THE ABILITY TO DISCUSS THE MOST PRESSING ISSUES IN HEALTH POLICY. | 25,000. |
| | | | | |
| **Total from continuation sheets** | | | | 23,047,450. |

## Part XV Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| BROOKINGS INSTITUTION<br>1775 MASSACHUSETTS AVE, NW<br>WASHINGTON, DC 20036 | | PC | TO UPDATE, MAINTAIN, AND PROMOTE THE FISCAL SHIP, AN ONLINE GAME THAT CHALLENGES PLAYERS TO PUT THE FEDERAL BUDGET ON A SUSTAINABLE COURSE. | 114,000. |
| BROOKINGS INSTITUTION<br>1775 MASSACHUSETTS AVE, NW<br>WASHINGTON, DC 20036 | | PC | TO ANALYZE THE FEDERAL TAX BASE AND DEVELOP POLICY ALTERNATIVES FOR THE TAXATION OF CAPITAL INCOME. | 35,000. |
| BROOKINGS INSTITUTION<br>1775 MASSACHUSETTS AVE, NW<br>WASHINGTON, DC 20036 | | PC | TO SUPPORT THE PETER G. PETERSON FOUNDATION FISCAL INTERNSHIP PROGRAM. | 5,000. |
| BROWN UNIVERSITY OF PROVIDENCE*<br>121 SOUTH MAIN STREET<br>PROVIDENCE, RI 02912 | | PC | TO SUPPORT A STATEWIDE HEALTHCARE IMPROVEMENT INITIATIVE IN RHODE ISLAND. | 826,000. |
| BROWN UNIVERSITY OF PROVIDENCE*<br>121 SOUTH MAIN STREET<br>PROVIDENCE, RI 02912 | | PC | TO SUPPORT THE IDENTIFICATION OF EFFECTIVE STRATEGIES TO IMPROVE THE QUALITY AND LOWER THE COST OF HEALTHCARE FOR HIGH-NEED MEDICARE PATIENTS. | 117,424. |
| BUSINESS EXECUTIVES FOR NATIONAL SECURITY<br>1030 15TH ST NW, SUITE 200 EAST<br>WASHINGTON, DC 20005 | | PC | TO PROVIDE GENERAL SUPPORT. | 25,000. |
| CAMDEN COALITION OF HEALTHCARE PROVIDERS*<br>800 COOPER STREET, 7TH FLOOR<br>CAMDEN, NJ 08102 | | PC | TO SUPPORT THE NATIONAL CENTER FOR COMPLEX HEALTH AND SOCIAL NEEDS' ANNUAL CONFERENCE. | 25,000. |
| | | | | |
| **Total from continuation sheets** | | | | |

**Part XV Supplementary Information** (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| CATALYST FOR PAYMENT REFORM INC.*<br>1344 OXFORD STREET<br>BERKELEY, CA 94709 | | PC | TO SUPPORT THE ADOPTION OF PERFORMANCE-BASED HEALTHCARE PURCHASING STRATEGIES BY EMPLOYERS TO IMPROVE OUTCOMES FOR WORKFORCES AND REDUCE THE COST OF CARE. | 912,000. |
| CENTER FOR AMERICAN PROGRESS<br>1333 H STREET, NW, 10TH FLOOR<br>WASHINGTON, DC 20005 | | PC | TO SUPPORT RESEARCH ON THE ECONOMIC EFFECTS OF DEFICITS AND DEBT, AND THE DEVELOPMENT OF A RESPONSIBLE LONG-TERM APPROACH TO U.S. FISCAL POLICY. | 115,000. |
| CENTER FOR AMERICAN PROGRESS<br>1333 H STREET, NW, 10TH FLOOR<br>WASHINGTON, DC 20005 | | PC | TO SUPPORT POLICY RESEARCH AND ANALYSIS TO REDUCE THE COSTS AND IMPROVE THE QUALITY OF U.S. HEALTHCARE. | 40,000. |
| CENTER FOR AMERICAN PROGRESS<br>1333 H STREET, NW, 10TH FLOOR<br>WASHINGTON, DC 20005 | | PC | TO DEVELOP RESOURCES FOR THE STUDENT DEBT SMARTER PROGRAM TO EDUCATE YOUNG PEOPLE ABOUT PUBLIC POLICIES THAT AFFECT COLLEGE FINANCING. | 30,000. |
| CENTER FOR AMERICAN PROGRESS<br>1333 H STREET, NW, 10TH FLOOR<br>WASHINGTON, DC 20005 | | PC | TO SUPPORT THE PETER G. PETERSON FOUNDATION FISCAL INTERNSHIP PROGRAM. | 5,000. |
| CENTER FOR HEALTH CARE STRATEGIES*<br>200 AMERICAN METRO BLVD., STE. 119<br>HAMILTON, NJ 08619 | | PC | TO EXPAND AND DEVELOP THE BETTER CARE PLAYBOOK, AN ONLINE RESOURCE FOR STAKEHOLDERS SEEKING TO IMPROVE THE QUALITY AND LOWER THE COST OF CARE FOR HIGH-NEED PATIENTS. | 95,000. |
| BILL, HILLARY, AND CHELSEA CLINTON FOUNDATION<br>1633 BROADWAY, 5TH FLOOR<br>NEW YORK, NY 10019 | | PC | TO SUPPORT THE EXECUTION OF UP TO US, A NATIONWIDE NON-PARTISAN INITIATIVE EMPOWERING COLLEGE STUDENTS TO EDUCATE AND ENGAGE THEIR PEERS ON AMERICA'S FISCAL CHALLENGES AND THE IMPACT ON THEIR FUTURE. | 250,000. |
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| **Total from continuation sheets** | | | | |

**Part XV Supplementary Information** (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| COALITION TO TRANSFORM ADVANCED CARE*<br>900 16TH STREET NW, SUITE 400<br>WASHINGTON, DC 20006 | | PC | TO SUPPORT THE REPLICATION OF COMPASSIONATE, COORDINATED HEALTHCARE MODELS FOR INDIVIDUALS WITH ADVANCED ILLNESS WHO ARE AFFECTED BY COVID-19. | 225,000. |
| COALITION TO TRANSFORM ADVANCED CARE*<br>900 16TH STREET NW, SUITE 400<br>WASHINGTON, DC 20006 | | PC | TO SUPPORT THE NATIONAL SUMMIT ON ADVANCED ILLNESS CARE. | 25,000. |
| COMMITTEE FOR A RESPONSIBLE FEDERAL BUDGET<br>1900 M STREET NW, STE. 850<br>WASHINGTON, DC 20036 | | PC | TO ADVANCE RESPONSIBLE FISCAL POLICY AND BUDGET PROCESS REFORM THROUGH POLICY RESEARCH, OUTREACH, AND PUBLIC ENGAGEMENT. | 2,228,216. |
| COMMITTEE FOR A RESPONSIBLE FEDERAL BUDGET<br>1900 M STREET NW, STE. 850<br>WASHINGTON, DC 20036 | | PC | TO SUPPORT THE PETER G. PETERSON FOUNDATION FISCAL INTERNSHIP PROGRAM. | 5,000. |
| CONCORD COALITION CORP.<br>1530 WILSON BLVD., SUITE 550<br>ARLINGTON, VA 22209 | | PC | TO EDUCATE THE PUBLIC ABOUT THE CAUSES AND CONSEQUENCES OF FEDERAL BUDGET DEFICITS AND HOW TO BUILD A SECURE FISCAL FOUNDATION FOR ECONOMIC GROWTH. | 750,000. |
| CONFERENCE BOARD INC.<br>1530 WILSON BLVD., SUITE 400<br>NEW YORK, NY 10022-6633 | | SO I | TO SUPPORT THE DISTINGUISHED PERFORMANCE AWARDS DINNER, WHICH RECOGNIZES BUSINESS LEADERS WHO ADVOCATE FOR THE NATION'S LONG-TERM INTERESTS. | 50,000. |
| COUNCIL FOR ECONOMIC EDUCATION<br>122 EAST 42ND ST., SUITE 2600<br>NEW YORK, NY 10168 | | PC | TO CREATE A HIGH SCHOOL CURRICULUM ON FISCAL AND ECONOMIC PRINCIPLES, INCLUDING LESSONS LEARNED FROM THE COVID-19 PANDEMIC. | 110,000. |
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| **Total from continuation sheets** | | | | |

**Part XV Supplementary Information** (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| COUNCIL FOR ECONOMIC EDUCATION<br>122 EAST 42ND ST., SUITE 2600<br>NEW YORK, NY 10168 | | PC | TO UPDATE AND DISSEMINATE THE UNDERSTANDING FISCAL RESPONSIBILITY CURRICULUM TO EDUCATE HIGH SCHOOL STUDENTS ON FISCAL AND ECONOMIC ISSUES. | 38,254. |
| COUNCIL FOR ECONOMIC EDUCATION<br>122 EAST 42ND ST., SUITE 2600<br>NEW YORK, NY 10168 | | PC | TO SUPPORT THE VISIONARY AWARDS, WHICH HONORS LEADERS IN THE ECONOMIC AND FINANCIAL EDUCATION FIELD. | 25,000. |
| ECONOMIC POLICY INSTITUTE<br>1225 EYE ST. NW, SUITE 600<br>WASHINGTON, DC 20005 | | PC | TO SUPPORT PUBLIC EDUCATION, ENGAGEMENT AND RESEARCH ON FISCAL POLICY. | 200,000. |
| ECONOMIC POLICY INSTITUTE<br>1225 EYE ST. NW, SUITE 600<br>WASHINGTON, DC 20005 | | PC | TO SUPPORT THE PETER G. PETERSON FOUNDATION FISCAL INTERNSHIP PROGRAM. | 5,000. |
| GRANTMAKERS IN AGING INC.*<br>901 NORTH GLEBE ROAD, SUITE 200<br>ARLINGTON, VA 22203 | | PC | TO PROVIDE RESOURCES TO HEALTHCARE FOUNDATIONS ACROSS THE U.S. ON HOW TO IMPROVE THE QUALITY AND LOWER THE COST OF CARE FOR HIGH-NEED PATIENTS. | 8,000. |
| HARVARD UNIVERSITY*<br>1033 MASSACHUSETTS AVE., SUITE 3<br>CAMBRIDGE, MA 02138 | | PC | TO SUPPORT THE DESIGN OF A SCALABLE CHANGE MANAGEMENT SOLUTION TO REDUCE HOSPITAL OVERUSE IN THE U.S. | 453,000. |
| HARVARD UNIVERSITY*<br>1033 MASSACHUSETTS AVE., SUITE 3<br>CAMBRIDGE, MA 02138 | | PC | TO IDENTIFY AND ASSESS FACTORS WHICH ENABLE EFFECTIVE IMPLEMENTATION OF INNOVATIONS IN HEALTHCARE. | 302,000. |
| **Total from continuation sheets** | | | | |

**Part XV Supplementary Information** (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| HARVARD UNIVERSITY<br>1033 MASSACHUSETTS AVE., SUITE 3<br>CAMBRIDGE, MA 02138 | | PC | TO IDENTIFY POLICY SOLUTIONS TO ADDRESS EXCESSIVE HEALTHCARE PRICE INCREASES IN MARKETS WITH LIMITED COMPETITION. | 46,620. |
| HARVARD UNIVERSITY<br>1033 MASSACHUSETTS AVE., SUITE 3<br>CAMBRIDGE, MA 02138 | | PC | TO SUPPORT THE US 2050 PROJECT, WHICH WILL EXPLORE DEMOGRAPHIC, FISCAL, AND SOCIOECONOMIC TRENDS THAT WILL SHAPE THE U.S. IN THE DECADES AHEAD. | 10,186. |
| HEALTH QUALITY PARTNERS, INC.*<br>2005 S. EASTON ROAD, SUITE 208<br>DOYLESTOWN, PA 18901 | | PC | TO SUPPORT THE SCALING OF AN ADVANCED PREVENTIVE CARE MODEL FOR CHRONICALLY ILL OLDER ADULTS. | 54,464. |
| HOWARD UNIVERSITY<br>2244 10TH STREET NW, SUITE 413<br>WASHINGTON, DC 20001 | | PC | SEE STATEMENT | 325,000. |
| ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI*<br>55 W 125 STREET, SUITE 1302<br>NEW YORK, NY 10027 | | PC | TO ACCELERATE THE ADOPTION OF PALLIATIVE CARE STRATEGIES THAT IMPROVE QUALITY AND LOWER THE COST OF CARE FOR PATIENTS WITH SERIOUS ILLNESS. | 73,000. |
| INDEPENDENT SECTOR<br>1602 L STREET, NW, SUITE 900<br>WASHINGTON, DC 20036-5682 | | PC | TO SUPPORT A CONFERENCE CONVENING NONPROFIT LEADERS TO DISCUSS PUBLIC POLICY CHALLENGES AND OPPORTUNITIES FACING THE NONPROFIT SECTOR. | 25,000. |
| THE INSTITUTE FOR COLLEGE ACCESS & SUCCESS<br>1212 BROADWAY, SUITE 1100<br>OAKLAND, CA 94612 | | PC | TO DEVELOP RESOURCES FOR THE STUDENT DEBT SMARTER PROGRAM TO PROVIDE YOUNG PEOPLE WITH INFORMATION ABOUT COLLEGE FINANCING. | 100,000. |
| | | | | |
| **Total from continuation sheets** | | | | |

**Part XV Supplementary Information** (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| INSTITUTE FOR FAMILY-CENTERED CARE INC.*<br>6917 ARLINGTON ROAD, SUITE 309<br>BETHESDA, MD 20814 | | PC | TO SUPPORT THE INTERNATIONAL CONFERENCE ON PATIENT-AND FAMILY-CENTERED CARE. | 10,000. |
| INSTITUTE FOR HEALTHCARE IMPROVEMENT*<br>53 STATE STREET, 19TH FLOOR<br>CAMBRIDGE, MA 02109 | | PC | TO SUPPORT A NATIONAL EVENT CONVENING HEALTHCARE PROFESSIONALS AND THOUGHT LEADERS TO DISCUSS IMPROVEMENT OF THE U.S. HEALTHCARE SYSTEM. | 25,000. |
| INSTITUTE FOR HEALTHCARE IMPROVEMENT*<br>53 STATE STREET, 19TH FLOOR<br>CAMBRIDGE, MA 02109 | | PC | TO SUPPORT THE ADOPTION OF EVIDENCE-BASED STRATEGIES BY MEDICARE ADVANTAGE PLANS TO IMPROVE THE QUALITY AND LOWER THE COST OF CARE FOR HIGH-NEED PATIENTS. | 19,000. |
| INSTITUTE FOR HEALTHCARE IMPROVEMENT*<br>53 STATE STREET, 19TH FLOOR<br>CAMBRIDGE, MA 02109 | | PC | TO SUPPORT THE SUMMIT ON IMPROVING PATIENT CARE. | 10,000. |
| JOINT CENTER FOR POLITICAL AND ECONOMIC STUDIES, INC.<br>633 PENNSYLVANIA AVE NW<br>WASHINGTON, DC 20004 | | PC | TO SUPPORT THE FUTURE OF BLACK COMMUNITIES LEADERSHIP SUMMIT. | 25,000. |
| HENRY J. KAISER FAMILY FOUNDATION*<br>185 BERRY STREET, SUITE 2000<br>SAN FRANCISCO, CA 94107 | | PC | TO SUPPORT AND EXPAND THE PETERSON-KAISER HEALTH SYSTEM TRACKER, A RESOURCE TO MONITOR PERFORMANCE OF THE U.S. HEALTHCARE SYSTEM. | 1,064,865. |
| HENRY J. KAISER FAMILY FOUNDATION<br>185 BERRY STREET, SUITE 2000<br>SAN FRANCISCO, CA 94107 | | PC | TO SUPPORT THE PETER G. PETERSON FOUNDATION FISCAL INTERNSHIP PROGRAM. | 5,000. |
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| **Total from continuation sheets** | | | | |

**Part XV Supplementary Information** (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| LIBRARY OF CONGRESS<br>101 INDEPENDENCE AVE. SE<br>WASHINGTON, DC 20540-4860 | | GOV | TO SUPPORT THE CONGRESSIONAL RESEARCH SERVICE'S BIPARTISAN SEMINAR FOR NEW MEMBERS. | 32,000. |
| MANHATTAN INSTITUTE FOR POLICY RESEARCH INC.<br>52 VANDERBILT AVE.<br>NEW YORK, NY 10017 | | PC | TO DEVELOP POLICY RESEARCH PAPERS ON FISCAL ISSUES AND SOLUTIONS. | 122,000. |
| MILBANK MEMORIAL FUND*<br>645 MADISON AVENUE, 15TH FLOOR<br>NEW YORK, NY 10022 | | EOF | TO HELP STATES BUILD CAPACITY TO SET AND TRACK GROWTH TARGETS FOR TOTAL HEALTHCARE EXPENDITURES. | 1,305,000. |
| NABE FOUNDATION OF THE NATIONAL ASSOCIATION FOR BUSINESS ECONOMICS<br>1920 L ST NW, SUITE 300<br>WASHINGTON, DC 20036 | | SO I | TO SUPPORT THE NABE FOUNDATION'S ANNUAL MEETING, A NATIONAL EVENT CONVENING POLICYMAKERS AND BUSINESS LEADERS TO DISCUSS FISCAL AND ECONOMIC ISSUES. | 20,000. |
| NABE FOUNDATION OF THE NATIONAL ASSOCIATION FOR BUSINESS ECONOMICS<br>1920 L ST NW, SUITE 300<br>WASHINGTON, DC 20036 | | SO I | TO SUPPORT A NATIONAL EVENT CONVENING POLICYMAKERS AND BUSINESS LEADERS TO DISCUSS FISCAL AND ECONOMIC ISSUES. | 20,000. |
| NATIONAL ACADEMY OF SOCIAL INSURANCE<br>1200 NEW HAMPSHIRE AVE. NW, #830<br>WASHINGTON, DC 20036 | | PC | TO SUPPORT AN EVENT HONORING THE PUBLIC SERVICE OF FORMER ECONOMIC AND FISCAL POLICYMAKERS. | 15,000. |
| NATIONAL ASSN OF LATINO ELECTED OFFICIALS NALEO EDUCATION FUND<br>1122 W. WASHINGTON BLVD., 3RD FLOOR<br>LOS ANGELES, CA 90015-3316 | | PC | TO SUPPORT THE NALEO VIRTUAL LEADERSHIP AND PUBLIC POLICY ACADEMY FOR STATE LEGISLATORS. | 25,000. |
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| **Total from continuation sheets** | | | | |

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**Part XV Supplementary Information** (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| NATIONAL ASSOCIATION OF HEALTH DATA ORGANIZATIONS*<br>965 E CENTER STREET<br>PROVO, UT 84606-3535 | | PC | TO SUPPORT THE HEALTH CARE DATA SUMMIT. | 5,000. |
| NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.<br>1050 MASSACHUSETTS AVENUE<br>CAMBRIDGE, MA 02138 | | PC | TO SUPPORT A POST-DOCTORAL FELLOWSHIP PROGRAM ON LONG-TERM FISCAL POLICY. | 172,682. |
| NATIONAL TAX ASSOCIATION<br>1100 VERMONT AVENUE, NW SUITE 650<br>WASHINGTON, DC 20005 | | PC | TO SUPPORT THE ANNUAL SPRING SYMPOSIUM. | 10,000. |
| NATIONAL TAX ASSOCIATION<br>1100 VERMONT AVENUE, NW SUITE 650<br>WASHINGTON, DC 20005 | | PC | TO SUPPORT THE ANNUAL CONFERENCE ON TAXATION. | 10,000. |
| NET IMPACT<br>1333 BROADWAY STREET, SUITE 250<br>OAKLAND, CA 94612 | | PC | TO SUPPORT THE EXECUTION OF UP TO US, A NATIONWIDE NON-PARTISAN INITIATIVE EMPOWERING COLLEGE STUDENTS TO EDUCATE AND ENGAGE THEIR PEERS ON AMERICA'S FISCAL CHALLENGES AND THE IMPACT ON THEIR FUTURE. | 2,972,183. |
| NEW YORK CITY PARTNERSHIP FOUNDATION, INC.<br>ONE BATTERY PARK PLAZA<br>NEW YORK, NY 10004 | | PC | TO SUPPORT THE ECONOMIC RECOVERY OF NEW YORK CITY AND SMALL BUSINESSES AFFECTED BY THE COVID-19 PANDEMIC. | 1,500,000. |
| NISKANEN CENTER<br>820 1ST STREET NE, SUITE 675<br>WASHINGTON , DC 20002 | | PC | TO EXAMINE THE POLITICAL AND ECONOMIC FACTORS AFFECTING THE NATIONS LONG-TERM FISCAL OUTLOOK. | 25,000. |
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| **Total from continuation sheets** | | | | |

**Part XV Supplementary Information** (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| NISKANEN CENTER<br>820 1ST STREET NE, SUITE 675<br>WASHINGTON , DC 20002 | | PC | TO SUPPORT THE PETER G. PETERSON FOUNDATION FISCAL INTERNSHIP PROGRAM. | 5,000. |
| NORTHWESTERN UNIVERSITY*<br>750 N. LAKE SHORE DRIVE<br>CHICAGO, IL 60611-4579 | | PC | TO SUPPORT THE DEVELOPMENT OF A SHARED DECISION MAKING MODEL FOR HIGH-NEED PATIENTS. | 682,000. |
| NORTHWESTERN UNIVERSITY<br>751 N. LAKE SHORE DRIVE<br>CHICAGO, IL 60611-4579 | | PC | TO FUND SELECTED PANDEMIC-RELATED RESEARCH PROJECTS THROUGH THE PETER G. PETERSON FOUNDATION PANDEMIC RESPONSE POLICY RESEARCH FUND. | 500,000. |
| NUCLEAR THREAT INITIATIVE INC.<br>1776 EYE STREET NW, SUITE 600<br>WASHINGTON, DC 20006 | | PC | TO SUPPORT NTI'S EFFORT TO IMPROVE GLOBAL NUCLEAR SECURITY THROUGH RESEARCH ON NUCLEAR MATERIALS SECURITY AND THE ENGAGEMENT AND DEVELOPMENT OF LEADERSHIP NETWORKS OF NUCLEAR EXPERTS AND POLICYMAKERS WORLDWIDE. | 1,700,000. |
| NUCLEAR THREAT INITIATIVE INC.<br>1776 EYE STREET NW, SUITE 600<br>WASHINGTON, DC 20006 | | PC | TO IMPROVE GLOBAL BIOSECURITY BY ESTABLISHING A NEW INTERNATIONAL ENTITY FOR OVERSIGHT AND COLLABORATION ON SECURITY AND BIOTECHNOLOGY. | 500,000. |
| THE PANETTA INSTITUTE FOR PUBLIC POLICY<br>100 CAMPUS CENTER, BLG 86E, CSU MONTEREY BAY SEASIDE, CA 93955 | | PC | TO PROVIDE GENERAL SUPPORT. | 15,000. |
| PETER G. PETERSON INSTITUTE FOR INTERNATIONAL ECONOMICS<br>1750 MASSACHUSETTS AVENUE, NW<br>WASHINGTON, DC 20036-1903 | | PC | TO SUPPORT NON-PARTISAN RESEARCH AND ANALYSIS ON GLOBAL AND DOMESTIC FISCAL AND ECONOMIC POLICY. | 900,000. |
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| **Total from continuation sheets** | | | | |

**Part XV Supplementary Information** (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| PROGRESSIVE POLICY INSTITUTE<br>1200 NEW HAMPSHIRE AVE. NW, STE. 575<br>WASHINGTON, DC 20036 | | PC | TO SUPPORT POLICY RESEARCH AND OUTREACH RELATED TO FISCAL SUSTAINABILITY. | 145,000. |
| PROGRESSIVE POLICY INSTITUTE<br>1200 NEW HAMPSHIRE AVE. NW, STE. 575<br>WASHINGTON, DC 20036 | | PC | TO SUPPORT THE PETER G. PETERSON FOUNDATION FISCAL INTERNSHIP PROGRAM. | 5,000. |
| RESOURCES FOR THE FUTURE INC.<br>1616 P ST. NW, SUITE 600<br>WASHINGTON, DC 20036 | | PC | TO SUPPORT RESEARCH ON CARBON TAX POLICY. | 340,000. |
| STANFORD UNIVERSITY*<br>3172 PORTER DRIVE<br>PALO ALTO, CA 94304 | | PC | TO SUPPORT THE STANFORD CLINICAL EXCELLENCE RESEARCH CENTER'S EFFORTS TO IDENTIFY CARE DELIVERY INNOVATIONS THAT IMPROVE QUALITY AND REDUCE COSTS FOR HIGH-NEED PATIENTS. | 331,556. |
| TRUSTEES OF TUFTS COLLEGE<br>136 HARRISON AVENUE<br>BOSTON, MA 02111 | | SO I | TO PRODUCE AND DISSEMINATE NON-PARTISAN ANALYSES BY LEADING ECONOMISTS ON TIMELY FISCAL AND ECONOMIC ISSUES. | 183,000. |
| UNIVERSITY OF WASHINGTON*<br>4333 BROOKLYN AVE NE<br>SEATTLE, WA 98195 | | GOV | TO CREATE THE FIRST NATIONWIDE DATASET OF U.S. HEALTHCARE EXPENDITURES AND VALUE AT THE COUNTY LEVEL. | 540,000. |
| URBAN INSTITUTE<br>500 L'ENFANT PLAZA SW<br>WASHINGTON, DC 20024 | | PC | TO SUPPORT TAX POLICY CENTER'S FEDERAL TAX MODEL AND RESEARCH AND ANALYSIS OF FISCAL POLICY DEVELOPMENTS. | 446,000. |
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| **Total from continuation sheets** | | | | |

**Part XV Supplementary Information** (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| URBAN INSTITUTE<br>500 L'ENFANT PLAZA SW<br>WASHINGTON, DC 20024 | | PC | TO DEVELOP AND ANALYZE FISCAL MEASUREMENTS TO IMPROVE THE UNDERSTANDING OF AMERICA'S FISCAL OUTLOOK. | 100,000. |
| URBAN INSTITUTE<br>500 L'ENFANT PLAZA SW<br>WASHINGTON, DC 20024 | | PC | TO SUPPORT KIDS' SHARE, A PROJECT MEASURING SPENDING ON CHILDREN IN THE FEDERAL BUDGET. | 95,000. |
| URBAN INSTITUTE<br>500 L'ENFANT PLAZA SW<br>WASHINGTON, DC 20024 | | PC | TO SUPPORT THE PETER G. PETERSON FOUNDATION FISCAL INTERNSHIP PROGRAM. | 5,000. |
| THE VOLCKER ALLIANCE<br>39 BROADWAY, SUITE 1930<br>NEW YORK, NY 10006 | | PC | TO PRODUCE AN ANNUAL BUDGET SCORECARD TO PROMOTE FISCAL TRANSPARENCY AND INTEGRITY IN STATE GOVERNMENTS. | 375,000. |
| WOMEN'S INSTITUTE FOR SCIENCE, EQUITY AND RACE<br>9291 LAUREL GROVE ROAD, SUITE 92<br>MECHANICSVILLE, VA 23116 | | PC | TO INCREASE DIVERSITY IN THE FIELD OF ECONOMICS AND PROMOTE GREATER INCLUSION IN FISCAL AND ECONOMIC POLICYMAKING THROUGH SUPPORT OF THE AMERICAN ECONOMIC ASSOCIATION SUMMER TRAINING PROGRAM, HOSTED BY HOWARD UNIVERSITY. | 165,000. |
| YALE UNIVERSITY<br>PO BOX 2038<br>NEW HAVEN, CT 06521 | | PC | TO SUPPORT THE YALE PROGRAM ON FINANCIAL STABILITY'S DEVELOPMENT OF FISCAL, MONETARY, AND REGULATORY MEASURES TO AID IN THE PREVENTION, MANAGEMENT, AND RESOLUTION OF FINANCIAL CRISES. | 400,000. |
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| **Total from continuation sheets** | | | | |

**Part XV Supplementary Information** (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| AMERICAN ENTERPRISE INSTITUTE FOR PUBLIC POLICY RESEARCH<br>1789 MASSACHUSETTS AVENUE, NW<br>WASHINGTON, DC 20036 | | PC | TO DEVELOP RESOURCES FOR THE STUDENT DEBT SMARTER PROGRAM TO EDUCATE YOUNG PEOPLE ABOUT PUBLIC POLICIES THAT AFFECT COLLEGE FINANCING. | 10,000. |
| BIPARTISAN POLICY CENTER<br>1225 EYE STREET NW, SUITE 1000<br>WASHINGTON, DC 20005 | | PC | TO PRODUCE BIPARTISAN RESEARCH AND ANALYSIS ON FISCAL AND ECONOMIC POLICY SOLUTIONS, INCLUDING POLICIES TO RECOVER FROM THE COVID-19 PANDEMIC. | 1,250,000. |
| BIPARTISAN POLICY CENTER*<br>1225 EYE STREET NW, SUITE 1000<br>WASHINGTON, DC 20005 | | PC | TO ANALYZE CURRENT TRENDS AND OPPORTUNITIES IN DIGITAL HEALTHCARE TECHNOLOGY, AND ITS ROLE IN IMPROVING QUALITY AND LOWERING COSTS. | 625,000. |
| BIPARTISAN POLICY CENTER<br>1225 EYE STREET NW, SUITE 1000<br>WASHINGTON, DC 20005 | | PC | TO SUPPORT THE PETER G. PETERSON FOUNDATION FISCAL INTERNSHIP PROGRAM. (HOMEBASE) | 35,000. |
| BROOKINGS INSTITUTION<br>1775 MASSACHUSETTS AVE, NW<br>WASHINGTON, DC 20036 | | PC | TO HONOR ALICE RIVLIN'S LEGACY ON FISCAL ISSUES BY SUPPORTING THE CREATION OF THE ALICE M. RIVLIN CHAIR IN ECONOMIC POLICY. | 250,000. |
| BROOKINGS INSTITUTION<br>1775 MASSACHUSETTS AVE, NW<br>WASHINGTON, DC 20036 | | PC | TO ANALYZE THE FEDERAL TAX BASE AND DEVELOP POLICY ALTERNATIVES FOR THE TAXATION OF CAPITAL INCOME. | 115,000. |
| BROOKINGS INSTITUTION<br>1775 MASSACHUSETTS AVE, NW<br>WASHINGTON, DC 20036 | | PC | TO UPDATE, MAINTAIN, AND PROMOTE THE FISCAL SHIP, AN ONLINE GAME THAT CHALLENGES PLAYERS TO PUT THE FEDERAL BUDGET ON A SUSTAINABLE COURSE. | 85,000. |
| | | | | |
| **Total from continuation sheets** | | | | 15,980,500. |

**Part XV Supplementary Information** (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| CENTER FOR AMERICAN PROGRESS<br>1333 H STREET, NW, 10TH FLOOR<br>WASHINGTON, DC 20005 | | PC | TO DEVELOP RESOURCES FOR THE STUDENT DEBT SMARTER PROGRAM TO EDUCATE YOUNG PEOPLE ABOUT PUBLIC POLICIES THAT AFFECT COLLEGE FINANCING. | 10,000. |
| COALITION TO TRANSFORM ADVANCED CARE*<br>900 16TH STREET NW, SUITE 400<br>WASHINGTON, DC 20006 | | PC | TO SUPPORT THE REPLICATION OF COMPASSIONATE, COORDINATED HEALTHCARE MODELS FOR INDIVIDUALS WITH ADVANCED ILLNESS WHO ARE AFFECTED BY COVID-19. | 25,000. |
| COMMITTEE FOR A RESPONSIBLE FEDERAL BUDGET<br>1900 M STREET NW, STE. 850<br>WASHINGTON, DC 20036 | | PC | TO ADVANCE RESPONSIBLE FISCAL POLICY AND BUDGET PROCESS REFORM THROUGH POLICY RESEARCH, OUTREACH, AND PUBLIC ENGAGEMENT . | 4,125,000. |
| COUNCIL FOR ECONOMIC EDUCATION<br>122 EAST 42ND ST., SUITE 2600<br>NEW YORK, NY 10168 | | PC | TO CREATE A HIGH SCHOOL CURRICULUM ON FISCAL AND ECONOMIC PRINCIPLES, INCLUDING LESSONS LEARNED FROM THE COVID-19 PANDEMIC. | 135,000. |
| ECONOMIC POLICY INSTITUTE<br>1225 EYE ST. NW, SUITE 600<br>WASHINGTON, DC 20005 | | PC | TO SUPPORT PUBLIC EDUCATION, ENGAGEMENT AND RESEARCH ON FISCAL POLICY. | 400,000. |
| FISCAL CHALLENGE INC.<br>115 TUCKERS POND DRIVE<br>CHAPEL HILL, NC 27516 | | PC | TO SUPPORT A COMPETITION FOR COLLEGE STUDENTS TO DEVELOP BUDGET PLANS THAT STABILIZE FEDERAL DEBT AS A SHARE OF THE ECONOMY OVER THE LONG TERM. | 14,000. |
| HOWARD UNIVERSITY<br>2244 10TH STREET NW, SUITE 413<br>WASHINGTON, DC 20001 | | PC | SEE STATEMENT | 930,000. |
| | | | | |
| **Total from continuation sheets** | | | | |

**Part XV Supplementary Information** (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| THE INSTITUTE FOR COLLEGE ACCESS & SUCCESS<br>1212 BROADWAY, SUITE 1100<br>OAKLAND, CA 94612 | | PC | TO DEVELOP RESOURCES FOR THE STUDENT DEBT SMARTER PROGRAM TO PROVIDE YOUNG PEOPLE WITH INFORMATION ABOUT COLLEGE FINANCING. | 12,000. |
| HENRY J. KAISER FAMILY FOUNDATION*<br>185 BERRY STREET, SUITE 2000<br>SAN FRANCISCO, CA 94107 | | PC | TO SUPPORT AND EXPAND THE PETERSON-KAISER HEALTH SYSTEM TRACKER, A RESOURCE TO MONITOR PERFORMANCE OF THE U.S. HEALTHCARE SYSTEM. | 2,140,000. |
| MANHATTAN INSTITUTE FOR POLICY RESEARCH INC.<br>52 VANDERBILT AVE.<br>NEW YORK, NY 10017 | | PC | TO DEVELOP POLICY RESEARCH PAPERS ON FISCAL ISSUES AND SOLUTIONS. | 52,000. |
| MILBANK MEMORIAL FUND*<br>645 MADISON AVENUE, 15TH FLOOR<br>NEW YORK, NY 10022 | | EOF | TO HELP STATES BUILD CAPACITY TO SET AND TRACK GROWTH TARGETS FOR TOTAL HEALTHCARE EXPENDITURES. | 1,416,000. |
| NATIONAL TAX ASSOCIATION TAX INSTITUTE OF AMERICA<br>1100 VERMONT AVENUE, NW SUITE 650<br>WASHINGTON, DC 20005 | | PC | TO SUPPORT THE ANNUAL SPRING SYMPOSIUM. | 10,000. |
| NET IMPACT<br>1333 BROADWAY STREET, SUITE 250<br>OAKLAND, CA 94612 | | PC | TO SUPPORT THE EXECUTION OF UP TO US, A NATIONWIDE NON-PARTISAN INITIATIVE EMPOWERING COLLEGE STUDENTS TO EDUCATE AND ENGAGE THEIR PEERS ON AMERICA'S FISCAL CHALLENGES AND THE IMPACT ON THEIR FUTURE. | 935,500. |
| NEW YORK CITY PARTNERSHIP FOUNDATION, INC.<br>ONE BATTERY PARK PLAZA<br>NEW YORK, NY 10004 | | PC | TO SUPPORT THE ECONOMIC RECOVERY OF NEW YORK CITY AND SMALL BUSINESSES AFFECTED BY THE COVID-19 PANDEMIC. | 1,303,000. |
| | | | | |
| **Total from continuation sheets** | | | | |

**Part XV Supplementary Information** (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| NISKANEN CENTER<br>820 1ST STREET NE, SUITE 675<br>WASHINGTON , DC 20002 | | PC | TO EXAMINE THE POLITICAL AND ECONOMIC FACTORS AFFECTING THE NATION'S LONG-TERM FISCAL OUTLOOK. | 67,000. |
| NORTHWESTERN UNIVERSITY<br>750 N. LAKE SHORE DRIVE<br>CHICAGO, IL 60611-4579 | | PC | TO FUND SELECTED PANDEMIC-RELATED RESEARCH PROJECTS THROUGH THE PETER G. PETERSON FOUNDATION PANDEMIC RESPONSE POLICY RESEARCH FUND. | 500,000. |
| NUCLEAR THREAT INITIATIVE INC.<br>1776 EYE STREET NW, SUITE 600<br>WASHINGTON, DC 20006 | | PC | TO IMPROVE GLOBAL BIOSECURITY BY ESTABLISHING A NEW INTERNATIONAL ENTITY FOR OVERSIGHT AND COLLABORATION ON SECURITY AND BIOTECHNOLOGY. | 500,000. |
| UNIVERSITY OF WASHINGTON*<br>4333 BROOKLYN AVE NE<br>SEATTLE, WA 98195 | | GOV | TO CREATE THE FIRST NATIONWIDE DATASET OF U.S. HEALTHCARE EXPENDITURES AND VALUE AT THE COUNTY LEVEL. | 180,000. |
| URBAN INSTITUTE<br>500 L'ENFANT PLAZA SW<br>WASHINGTON, DC 20024 | | PC | TO SUPPORT TAX POLICY CENTER'S FEDERAL TAX MODEL AND RESEARCH AND ANALYSIS OF FISCAL POLICY DEVELOPMENTS. | 371,000. |
| WOMEN'S INSTITUTE FOR SCIENCE, EQUITY AND RACE<br>9291 LAUREL GROVE ROAD, SUITE 92<br>MECHANICSVILLE, VA 23116 | | PC | TO INCREASE DIVERSITY IN THE FIELD OF ECONOMICS AND PROMOTE GREATER INCLUSION IN FISCAL AND ECONOMIC POLICYMAKING THROUGH SUPPORT OF THE AMERICAN ECONOMIC ASSOCIATION SUMMER TRAINING PROGRAM, HOSTED BY HOWARD UNIVERSITY. | 485,000. |
| | | | | |
| | | | | |
| **Total from continuation sheets** | | | | |

**Part XV Supplementary Information**

**3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution**

NAME OF RECIPIENT - HOWARD UNIVERSITY

TO INCREASE DIVERSITY IN THE FIELD OF ECONOMICS AND PROMOTE GREATER INCLUSION IN FISCAL AND ECONOMIC POLICYMAKING THROUGH SUPPORT OF THE AMERICAN ECONOMIC ASSOCIATION SUMMER TRAINING PROGRAM, HOSTED BY HOWARD UNIVERSITY IN COLLABORATION WITH THE WOMEN'S INSTITUTE FOR SCIENCE, EQUITY, AND RACE.

**Part XV Supplementary Information**

**3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution**

NAME OF RECIPIENT - HOWARD UNIVERSITY

TO INCREASE DIVERSITY IN THE FIELD OF ECONOMICS AND PROMOTE GREATER
INCLUSION IN FISCAL AND ECONOMIC POLICYMAKING THROUGH SUPPORT OF THE
AMERICAN ECONOMIC ASSOCIATION SUMMER TRAINING PROGRAM, HOSTED BY HOWARD
UNIVERSITY IN COLLABORATION WITH THE WOMEN'S INSTITUTE FOR SCIENCE,
EQUITY, AND RACE.

FORM 990-PF OTHER INCOME STATEMENT 1

| DESCRIPTION | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|
| PARTNERSHIP - ORDINARY TRADE/BUSINESS | 0. | -34,044. | 0. |
| PARTNERSHIP - ROYALTY INCOME | 0. | 364,137. | 0. |
| PARTNERSHIP - OTHER PORTFOLIO INCOME | 0. | -2,428,656. | 0. |
| PARTNERSHIP - OTHER INCOME | 0. | -616,627. | 0. |
| PARTNERSHIP - CANCELLATION OF DEBT | 0. | 287. | 0. |
| PRI ACCRUED INTEREST INCOME | 207,387. | 207,387. | 0. |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 207,387. | -2,507,516. | 0. |

FORM 990-PF LEGAL FEES STATEMENT 2

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| LEGAL FEES | 157,772. | 0. | 0. | 144,873. |
| TO FM 990-PF, PG 1, LN 16A | 157,772. | 0. | 0. | 144,873. |

FORM 990-PF ACCOUNTING FEES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| AUDIT & ACCOUNTING FEES | 90,500. | 0. | 0. | 90,500. |
| PROFESSIONAL TAX FEES | 88,400. | 0. | 0. | 76,435. |
| TO FORM 990-PF, PG 1, LN 16B | 178,900. | 0. | 0. | 166,935. |

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| COMMUNICATIONS | 110,428. | 0. | 0. | 112,831. |
| INVESTMENT MANAGEMENT | 2,281,884. | 2,281,884. | 0. | 0. |
| OTHER PROFESSIONAL FEES | 245,304. | 0. | 0. | 257,500. |
| INFORMATION TECHNOLOGY | 636,314. | 0. | 0. | 644,252. |
| HUMAN RESOURCES | 408,175. | 0. | 0. | 515,102. |
| TO FORM 990-PF, PG 1, LN 16C | 3,682,105. | 2,281,884. | 0. | 1,529,685. |

FORM 990-PF TAXES STATEMENT 5

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| TAX EXPENSES | 2,818,752. | 0. | 0. | 0. |
| PARTNERSHIP - FOREIGN TAXES | 0. | 260,395. | 0. | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 2,818,752. | 260,395. | 0. | 0. |

FORM 990-PF OTHER EXPENSES STATEMENT 6

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| MEDIA AND ADVERTISING | 851,195. | 0. | 0. | 577,920. |
| OTHER PROGRAM EXPENSES | 4,318,474. | 0. | 0. | 4,296,383. |
| OTHER MISCELLANEOUS EXPENSES | 278,431. | 0. | 0. | 268,889. |
| K-1 OTHER PORTFOLIO DEDUCTIONS | 0. | 3,246. | 0. | 0. |
| K-1 INVESTMENT INTEREST EXP | 0. | 876,202. | 0. | 0. |
| K-1 OTHER DEDUCTIONS | 0. | 6,309,218. | 0. | 0. |
| K-1 ROYALTY DEDUCTIONS | 0. | 136,879. | 0. | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 5,448,100. | 7,325,545. | 0. | 5,143,192. |

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 7

| DESCRIPTION | AMOUNT |
|---|---|
| UNREALIZED GAINS | 195,633,447. |
| RECOVERY OF PRIOR YEAR GRANTS | 17,605. |
| TOTAL TO FORM 990-PF, PART III, LINE 3 | 195,651,052. |

FORM 990-PF CORPORATE STOCK STATEMENT 8

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| EDITAS MEDICINE INC | 927,150. | 927,150. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 927,150. | 927,150. |

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| ABRAMS CAPITAL PARTNERS II, LP | FMV | 13,638,627. | 13,638,627. |
| ACE REDPOINT OPPORTUNITY CHINA, LP | FMV | 200,814. | 200,814. |
| ACE REDPOINT VENTURES CHINA II, LP | FMV | 1,159,669. | 1,159,669. |
| ACTIVUM SG FEEDER FUND V LP | FMV | 9,200,724. | 9,200,724. |
| ALLOCATED AND UNALLOCATED GOLD | FMV | 29,908,734. | 29,908,734. |
| ALTAS PARTNERS HOLDINGS (A) LP | FMV | 9,537,697. | 9,537,697. |
| ALTAS PARTNERS HOLDINGS II LP | FMV | 4,747,477. | 4,747,477. |
| AMANSA FEEDER, LTD | FMV | 23,790,562. | 23,790,562. |
| AMERICAN SECURITIES PARTNERS VI, LP | FMV | 7,514,628. | 7,514,628. |
| ANCHORAGE ILLIQUID OPPORTUNITIES OFFSHORE IV, LP | FMV | 878,464. | 878,464. |
| ARROWSTREET CAPITAL GLOBAL EQUITY ALPHA EXTENSION FUND LIMITED | FMV | 36,734,770. | 36,734,770. |
| ARTEMIS REAL ESTATE PARTNERS FUND II, LP | FMV | 3,595,353. | 3,595,353. |
| AXON PARTNERS (OFFSHORE), LTD (F/K/A TPG) | FMV | 80,181. | 80,181. |
| BAUPOST VALUE PARTNERS, LP-IV | FMV | 26,146,601. | 26,146,601. |
| BROOKSIDE CAYMAN, LIMITED | FMV | 278. | 278. |
| CANTILLON GLOBAL EQUITY LP | FMV | 63,905,748. | 63,905,748. |
| CENTERBRIDGE CREDIT PARTNERS TE, LP | FMV | 537,508. | 537,508. |
| CEPHEI QFII CHINA TOTAL RETURN OFFSHORE FEEDER FUND LTD | FMV | 30,727,474. | 30,727,474. |
| CYRUS OPPORTUNITIES FUND II, LTD | FMV | 9,898,004. | 9,898,004. |
| CYRUS SELECT OPPORTUNITIES FUND, LTD | FMV | 14,432,972. | 14,432,972. |

| | | | |
|---|---|---|---|
| CYRUS SELECT OPPORTUNITIES MASTER FUND II, LTD | FMV | 2,706,469. | 2,706,469. |
| DENHAM COMMODITY PARTNERS FUND VI-A LP | FMV | 5,068,390. | 5,068,390. |
| ELLIOTT INTERNATIONAL LIMITED | FMV | 46,344,547. | 46,344,547. |
| ENCAP ENERGY CAPITAL FUND IX, LP | FMV | 1,927,806. | 1,927,806. |
| ENCAP ENERGY CAPITAL FUND VIII-B, LP | FMV | 2,293,388. | 2,293,388. |
| ENCAP ENERGY CAPITAL FUND X, LP | FMV | 5,542,676. | 5,542,676. |
| ENCAP ENERGY CAPITAL FUND XI, LP | FMV | 1,889,506. | 1,889,506. |
| ENCAP FLATROCK MIDSTREAM FUND III, LP | FMV | 7,352,831. | 7,352,831. |
| ENCAP FLATROCK MIDSTREAM FUND IV, LP | FMV | 3,296,120. | 3,296,120. |
| FARALLON CAPITAL INSTITUTIONAL PARTNERS, LP | FMV | 60,614,602. | 60,614,602. |
| FELICIS VENTURES VII, LP | FMV | 2,166,739. | 2,166,739. |
| FINEPOINT CAPITAL PARTNERS II, LP | FMV | 8,800,385. | 8,800,385. |
| FOLIUM AGRICULTURE FUND I PARALLEL-1 LP | FMV | 9,883,055. | 9,883,055. |
| FOLIUM TIMBER FUND I PARALLEL-1 LP | FMV | 6,228,028. | 6,228,028. |
| FORTRESS CREDIT OPPORTUNITIES FUND (B) LP | FMV | 827,118. | 827,118. |
| FPA APARTMENT OPPORTUNITY FUND V-A, LP | FMV | 2,502,659. | 2,502,659. |
| FPA APARTMENT OPPORTUNITY FUND VI-A, LP | FMV | 8,359,171. | 8,359,171. |
| FPA APARTMENT OPPORTUNITY FUND VII-A, LP | FMV | 1,958,171. | 1,958,171. |
| GA RV (CLASS A) CONTINUATION, LP | FMV | 3,108,037. | 3,108,037. |
| GAOLING FEEDER, LTD | FMV | 994,875. | 994,875. |
| GARRISON REAL ESTATE FUND II LP | FMV | 309,934. | 309,934. |
| GARRISON REAL ESTATE FUND III LP | FMV | 888,453. | 888,453. |
| GENERAL ATLANTIC INVESTMENT PARTNERS I, LP | FMV | 4,973,168. | 4,973,168. |
| GOLDMAN SACHS VINTAGE FUND V (OFFSHORE), LP | FMV | 683,754. | 683,754. |
| GOODWATER CAPITAL III, LP | FMV | 2,673,379. | 2,673,379. |
| GSO SPECIAL SITUATIONS OVERSEAS FUND LTD | FMV | 262,652. | 262,652. |
| H CAPITAL V, LP | FMV | 6,583,254. | 6,583,254. |
| HIGHBROOK INCOME PROPERTY FUND II, LP | FMV | 551,113. | 551,113. |
| HIGHBROOK INCOME PROPERTY FUND III, LP | FMV | 8,169,870. | 8,169,870. |
| HIGHBROOK INCOME PROPERTY FUND, LP | FMV | 955,785. | 955,785. |
| HIGHFIELDS CAPITAL IV LP | FMV | 237,055. | 237,055. |
| ICHIGO JAPAN FUND B | FMV | 31,351,843. | 31,351,843. |
| LCP VII (OFFSHORE), LP | FMV | 1,090,250. | 1,090,250. |
| LUMINATE CAPITAL PARTNERS II, LP | FMV | 7,372,170. | 7,372,170. |
| NEXUS SPECIAL SITUATIONS II, LP | FMV | 11,917,018. | 11,917,018. |
| NEXUS SPECIAL SITUATIONS III, LP | FMV | 565,876. | 565,876. |
| NGP NATURAL RESOURCES X, LP | FMV | 1,305,662. | 1,305,662. |
| NUT TREE DRAWDOWN OFFSHORE FUND, LP | FMV | 10,505,448. | 10,505,448. |
| PASSPORT SPECIAL OPPORTUNITIES FUND, LTD | FMV | 1,645. | 1,645. |
| PROVIDENCE STRATEGIC GROWTH II-A LP | FMV | 13,930,541. | 13,930,541. |

| | | | |
|---|---|---|---|
| PROVIDENCE STRATEGIC GROWTH III-A LP | FMV | 10,182,092. | 10,182,092. |
| PROVIDENCE STRATEGIC GROWTH IV LP | FMV | 4,857,576. | 4,857,576. |
| REDWOOD DRAWDOWN OFFSHORE FUND II, LP | FMV | 6,282,023. | 6,282,023. |
| RIVA CAPITAL PARTNERS III, LP | FMV | 1,087,893. | 1,087,893. |
| ROARK CAPITAL PARTNERS IV LP | FMV | 6,501,214. | 6,501,214. |
| ROARK CAPITAL PARTNERS V (TE) LP | FMV | 7,097,091. | 7,097,091. |
| SDC DIGITAL INFRASTRUCTURE OPPORTUNITY FUND II, LP | FMV | 2,143,095. | 2,143,095. |
| SFC ENERGY PARTNERS II-B, LP | FMV | 183,245. | 183,245. |
| THE CHILDREN'S INVESTMENT FUND | FMV | 28,000,000. | 28,000,000. |
| THE OVERLOOK PARTNERS FUND, LP | FMV | 27,192,085. | 27,192,085. |
| TRIDENT V, LP | FMV | 1,970,696. | 1,970,696. |
| WARBURG PINCUS CHINA, LP | FMV | 10,105,670. | 10,105,670. |
| WARBURG PINCUS CHINA-SOUTHEAST ASIA II, LP | FMV | 1,959,503. | 1,959,503. |
| WARBURG PINCUS FINANCIAL SECTOR, LP | FMV | 7,122,626. | 7,122,626. |
| WARBURG PINCUS GLOBAL GROWTH, LP | FMV | 4,694,643. | 4,694,643. |
| WARBURG PINCUS PRIVATE EQUITY XII, LP | FMV | 13,221,124. | 13,221,124. |
| WELSH, CARSON, ANDERSON & STOWE XI, LP | FMV | 2,758,797. | 2,758,797. |
| WHALE ROCK FLAGSHIP FUND LTD | FMV | 45,109,289. | 45,109,289. |
| WHITE DEER ENERGY LP II | FMV | 2,611,988. | 2,611,988. |
| YIHENG CAPITAL OFFSHORE PARTNERS, LTD | FMV | 29,550,857. | 29,550,857. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 785,461,235. | 785,461,235. |

FORM 990-PF — OTHER ASSETS — STATEMENT 10

| DESCRIPTION | BEGINNING OF YR BOOK VALUE | END OF YEAR BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| SOFTWARE/DIGITAL | 28,675. | 30,993. | 30,993. |
| DIVIDEND/OTHER RECEIVABLES | 145,980. | 1,761. | 1,761. |
| 457(F) PLAN ASSET | 222,620. | 338,121. | 338,121. |
| PROGRAM RELATED INVESTMENT | 4,005,596. | 4,005,596. | 4,005,596. |
| PRI INTEREST RECEIVABLE | 56,533. | 263,920. | 263,920. |
| TO FORM 990-PF, PART II, LINE 15 | 4,459,404. | 4,640,391. | 4,640,391. |

FORM 990-PF OTHER LIABILITIES STATEMENT 11

| DESCRIPTION | BOY AMOUNT | EOY AMOUNT |
|---|---|---|
| DEFERRED EXCISE TAX | 10,784. | 2,729,966. |
| 457(F) PLAN LIABILITY | 222,620. | 338,121. |
| TOTAL TO FORM 990-PF, PART II, LINE 22 | 233,404. | 3,068,087. |

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 12

| NAME AND ADDRESS | TITLE AND AVRG HRS/WK | COMPEN- SATION | EMPLOYEE BEN PLAN CONTRIB | EXPENSE ACCOUNT |
|---|---|---|---|---|
| MICHAEL A. PETERSON<br>888-C EIGHTH AVENUE BOX #144<br>NEW YORK, NY 10019 | DIRECTOR & CHAIRMAN, CEO<br>40.00 | 0. | 0. | 0. |
| JOAN GANZ COONEY<br>888-C EIGHTH AVENUE BOX #144<br>NEW YORK, NY 10019 | DIRECTOR<br>2.00 | 0. | 0. | 0. |
| MICHAEL SHANKMAN<br>888-C EIGHTH AVENUE BOX #144<br>NEW YORK, NY 10019 | TREASURER<br>10.00 | 0. | 0. | 0. |
| LORETTA UCELLI<br>888-C EIGHTH AVENUE BOX #144<br>NEW YORK, NY 10019 | EXEC VP, STRATEGY & COMM<br>40.00 | 429,807. | 49,263. | 0. |
| JAY WANT<br>888-C EIGHTH AVENUE BOX #144<br>NEW YORK, NY 10019 | EXECUTIVE DIRECTOR - PCH<br>40.00 | 406,235. | 50,830. | 0. |
| SUSAN TANAKA<br>888-C EIGHTH AVENUE BOX #144<br>NEW YORK, NY 10019 | SENIOR POLICY ADVISOR<br>40.00 | 365,784. | 47,146. | 0. |
| SUK YUN WON<br>888-C EIGHTH AVENUE BOX #144<br>NEW YORK, NY 10019 | CHIEF OPERATING OFFICER<br>40.00 | 372,537. | 45,988. | 0. |
| JEFFREY HOLLAND<br>888-C EIGHTH AVENUE BOX #144<br>NEW YORK, NY 10019 | VP, RESEARCH<br>40.00 | 265,785. | 46,505. | 0. |
| MYRA SUNG<br>888-C EIGHTH AVENUE BOX #144<br>NEW YORK, NY 10019 | VP, PROGRAMS<br>40.00 | 240,937. | 24,078. | 0. |
| LAURA GORDON<br>888-C EIGHTH AVENUE BOX #144<br>NEW YORK, NY 10019 | VP, COMM & PUBLIC AFFAIRS<br>40.00 | 190,725. | 6,472. | 0. |

| | | | |
|---|---|---|---|
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII | 2,271,810. | 270,282. | 0. |

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 13

ACTIVITY ONE

FOUNDATION ACTIVITIES - SEE STATEMENT 18 FOR OVERVIEW

GRANTS AND GRANT-MAKING

THE FOUNDATION PROVIDES GRANTS TO FUND A VARIETY OF PROJECTS AND ORGANIZATIONS THAT ADVANCE ITS MISSION. GRANTEES INCLUDE RESEARCH ORGANIZATIONS, FOUNDATIONS, UNIVERSITIES, ASSOCIATIONS, AND OTHER NOT-FOR-PROFIT ENTITIES THAT ENGAGE IN ACTIVITIES OUTLINED UNDER GRANT AGREEMENTS WITH THE FOUNDATION. THESE GRANTS SUPPORT A RANGE OF EDUCATION, ENGAGEMENT, AND RESEARCH PROJECTS AND INITIATIVES RELATED TO THE NATION'S LONG-TERM FISCAL AND ECONOMIC CHALLENGES, AS WELL AS THE KEY DRIVERS OF DEBT. A COMPLETE LISTING OF OUR PAID GRANTS IN FISCAL YEAR 2021 CAN BE FOUND IN PART XV.

| | EXPENSES |
|---|---|
| TO FORM 990-PF, PART IX-A, LINE 1 | 29,357,929. |

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 14

ACTIVITY TWO

EDUCATION, AWARENESS, AND ENGAGEMENT

THE FOUNDATION'S EDUCATION, AWARENESS, AND ENGAGEMENT INITIATIVES SEEK TO IMPROVE AMERICANS' UNDERSTANDING OF AND PARTICIPATION IN SUPPORTING FISCAL SUSTAINABILITY AND ECONOMIC RESILIENCY FOR THE NEXT GENERATION. THE FOUNDATION PRODUCES INFORMATION ON FISCAL AND ECONOMIC POLICY TOPICS FOR THE GENERAL PUBLIC; CONNECTS A RANGE OF AUDIENCES WITH NON-PARTISAN RESOURCES AND INFORMATION; AND ISSUES POLICY RESEARCH BRIEFS AND STATEMENTS AROUND KEY FISCAL MILESTONES. THE FOUNDATION ENABLES BROAD DISCOURSE REGARDING FISCAL AND ECONOMIC ISSUES THROUGH ITS WEBSITES AND SOCIAL MEDIA. IN ADDITION, THE FOUNDATION HOLDS REGULAR CONVENINGS, BRINGING TOGETHER POLICY LEADERS, EXPERTS, AND ELECTED OFFICIALS FROM ACROSS THE POLITICAL AND IDEOLOGICAL SPECTRUM TO DISCUSS FISCAL AND ECONOMIC ISSUES.

| | EXPENSES |
|---|---|
| TO FORM 990-PF, PART IX-A, LINE 2 | 9,516,793. |

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 15

ACTIVITY THREE

POLICY RESEARCH AND ANALYSIS

THE FOUNDATION PRODUCES NON-PARTISAN RESEARCH, ANALYSES, AND OTHER DATA-DRIVEN INFORMATION TO HELP MAKE COMPLEX FISCAL AND ECONOMIC ISSUES MORE UNDERSTANDABLE AND MEANINGFUL TO THE PUBLIC AND POLICYMAKERS. THE FOUNDATION'S RESEARCH AND ANALYSES ARE INCORPORATED INTO ITS EDUCATION, AWARENESS, AND ENGAGEMENT ACTIVITIES, AND REFLECTED IN PUBLIC STATEMENTS, ARTICLES AND PRESENTATIONS. THIS MATERIAL IS MADE ACCESSIBLE ON THE FOUNDATION'S WEBSITE AND INCLUDES: ANALYSES OF BUDGET AND ECONOMIC ISSUES; A LIBRARY OF CHARTS AND GRAPHS, PRIMERS AND POLICY RESEARCH BRIEFS THAT EXPLAIN THE BUDGET AND BUDGET PROCESS; AND THE RELATIONSHIP BETWEEN THE BUDGET, THE ECONOMY, AND DEMOGRAPHIC TRENDS.

| | EXPENSES |
|---|---|
| TO FORM 990-PF, PART IX-A, LINE 3 | 2,806,314. |

990-PF INVOLVEMENT WITH NONCHARITABLE ORGANIZATIONS STATEMENT 16
PART XVII, LINE 1, COLUMN (D)

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

CIVICA, INC.

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

PROGRAM RELATED INVESTMENT CONSISTS OF A CREDIT FACILITY AGREEMENT. AS OF MARCH 31, 2021, $4,005,596 WAS DRAWN AGAINST THE CREDIT AGREEMENT AND INTEREST OF $263,920 WAS ACCRUED. AS OF MARCH 31, 2021, $4,269,516 WAS DRAWN AGAINST THE CREDIT AGREEMENT INCLUDING INTEREST. SEE STATEMENT 21 FOR FURTHER DETAILS.

990-PF AFFILIATION WITH TAX-EXEMPT ORGANIZATIONS STATEMENT 17
PART XVII, LINE 2, COLUMN (C)

NAME OF AFFILIATED OR RELATED ORGANIZATION

CIVICA, INC.

DESCRIPTION OF RELATIONSHIP WITH AFFILIATED OR RELATED ORGANIZATION

A DIRECTOR OF CIVICA, INC. WAS APPOINTED BY THE PETER G. PETERSON FOUNDATION.

GENERAL EXPLANATION STATEMENT 18

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

FORM 990-PF, PART IX-A - SUMMARY OF DIRECT CHARITABLE ACTIVITIES

EXPLANATION:

FOUNDATION ACTIVITIES

THE PETER G. PETERSON FOUNDATION'S MISSION IS TO INCREASE PUBLIC AWARENESS OF THE NATURE AND URGENCY OF THE KEY FISCAL CHALLENGES THREATENING AMERICA'S FUTURE AND TO ACCELERATE ACTION ON THEM. TO ADDRESS THESE CHALLENGES SUCCESSFULLY, WE WORK TO BRING AMERICANS TOGETHER TO FIND AND IMPLEMENT SENSIBLE, LONG-TERM SOLUTIONS THAT TRANSCEND AGE, PARTY LINES, AND IDEOLOGICAL DIVIDES IN ORDER TO ACHIEVE REAL RESULTS. WE ADVANCE OUR MISSION THROUGH GRANT-MAKING, EDUCATION AND AWARENESS INITIATIVES, AND POLICY RESEARCH AND ANALYSIS.

IN 2014, THE FOUNDATION ESTABLISHED THE PETERSON CENTER ON HEALTHCARE, AN ORGANIZATION DEDICATED TO MAKING HIGHER QUALITY, MORE AFFORDABLE HEALTHCARE A REALITY FOR ALL AMERICANS. AS A DIVISION OF THE FOUNDATION, THE CENTER IS WORKING TO TRANSFORM U.S. HEALTHCARE INTO A HIGH-PERFORMANCE SYSTEM BY FINDING INNOVATIVE SOLUTIONS THAT IMPROVE QUALITY AND LOWER COSTS, AND ACCELERATING THEIR ADOPTION ON A NATIONAL SCALE. THE CENTER COLLABORATES WITH STAKEHOLDERS ACROSS THE HEALTHCARE SYSTEM AND ENGAGES IN GRANT-MAKING, PARTNERSHIPS, AND RESEARCH.

GENERAL EXPLANATION STATEMENT 19

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

FORM 990-PF, PART XV - CONTINUATION OF SUPPLEMENTARY INFORMATION

EXPLANATION:

2A: NAME & ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED:
PETER G. PETERSON FOUNDATION
888-C EIGHTH AVENUE, BOX #144
NEW YORK, NY 10019
TELEPHONE: 212-542-9200
EMAIL: INQUIRES@PGPF.ORG

2B: FORM AND CONTENT OF APPLICATIONS:
A BRIEF DESCRIPTION OF THE PROPOSED PROJECT VIA EMAIL, OR THE "INQUIRIES" LINK ON THE FOUNDATION'S WEBSITE.

2C: ANY SUBMISSION DEADLINES:
INQUIRIES ARE ACCEPTED AT ANY TIME DURING THE YEAR.

2D: RESTRICTIONS OR LIMITATIONS ON AWARDS:
PETER G. PETERSON FOUNDATION GENERAL GRANT ELIGIBILITY GUIDELINES/ REQUIREMENTS:

THE PETER G. PETERSON FOUNDATION:
-CONSIDERS GRANT REQUESTS DIRECTLY RELATED TO THE FOUNDATION'S MISSION AND PRIORITIES

-GENERALLY AWARDS GRANTS TO U.S. BASED 501(C)(3) NONPROFIT ORGANIZATIONS

-PREFERS TO SUPPORT ORGANIZATIONS THAT HAVE BEEN IN EXISTENCE FOR AT LEAST TWO YEARS, WITH ANNUAL OPERATING BUDGETS OF AT LEAST $1 MILLION

-SEEKS TO PARTNER WITH ORGANIZATIONS THAT HAVE THE ABILITY TO IMPLEMENT PROGRAMMING FOR NATIONAL IMPACT

THE PETER G. PETERSON FOUNDATION DOES NOT PARTICIPATE IN ACTIVITIES WHICH ARE PROHIBITED FOR PRIVATE FOUNDATIONS AND DOES NOT SUPPORT INSTITUTIONS THAT DISCRIMINATE ON THE BASIS OF, AMONG OTHER THINGS, RACE, RELIGION, GENDER, NATIONAL ORIGIN, AGE, DISABILITY OR SEXUAL ORIENTATION, IN POLICY OR IN PRACTICE. IN ADDITION THE PETER G. PETERSON FOUNDATION DOES NOT GENERALLY ENGAGE IN CERTAIN OTHER PRACTICES, INCLUDING BUT NOT LIMITED TO:

-FUNDING ORGANIZATIONS BASED OUTSIDE OF THE UNITED STATES
-GIVING GRANTS TO INDIVIDUALS
-FUNDING SOCIAL OR FRATERNAL ORGANIZATIONS
-SUPPORTING CAPITAL CAMPAIGNS, AUCTIONS, AND OTHER SIMILAR ACTIVITIES
-PROVIDING UNRESTRICTED FUNDING
-UNDERWRITING CHAIRS, ENDOWMENTS, OR ACADEMIC SCHOLARSHIPS

FOR MORE INFORMATION ON THE FOUNDATION'S GUIDELINES FOR AWARDS, APPLICANTS MAY VISIT OUR WEBSITE: WWW.PGPF.ORG

GENERAL EXPLANATION STATEMENT 20

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

FORM 990-PF, PART XV - 3A/B

EXPLANATION:

GRANTS/CONTRIBUTIONS PAID OR APPROVED FOR FUTURE PAYMENT:

GRANTEE NAMES WITH * AFTER THE NAME ARE GRANTS MADE FROM THE PETERSON CENTER ON HEALTHCARE LLC, WHICH IS A WHOLLY OWNED SUBSIDIARY OF THE PETER G. PETERSON FOUNDATION

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

FORM 990-PF, PART IX-B - EXPENDITURE RESPONSIBILITY

EXPLANATION:

PRI RECIPIENT
CIVICA, INC.
2912 W. EXECUTIVE PKWY
LEHI, UTAH 84043

DATES AMOUNTS
10/23/2019 $2,535,211.27
03/31/2020 $1,470,384.50
ACCRUED INTEREST THROUGH 03/31/2021 $263,920.00

PURPOSE
PROGRAM RELATED INVESTMENT, LINE OF CREDIT - TO SUPPORT PROGRAMS, INITIATIVES AND ACTIVITIES CARRIED OUT THROUGH MEMBER HOSPITALS AND HEALTH SYSTEMS THAT PROVIDE HIGH-NEEDS POPULATIONS WITH TIMELY ACCESS TO ESSENTIAL GENERIC DRUGS AT AFFORDABLE PRICES. HIGH-NEEDS POPULATIONS INCLUDE POPULATIONS IN THE FEDERAL GOVERNMENT'S 340B PROGRAM FOR DISCOUNTED DRUG PRICING FOR UNINSURED AND LOW- INCOME INDIVIDUALS. THIS POPULATION INCLUDES UNINSURED PATIENTS, PATIENTS AT OR BELOW 150% OF POVERTY LEVELS, PATIENTS WITH HIGH HEALTH CARE SPENDING (E.G., TOP 10%) AND IMPOVERISHED OR OTHERWISE VULNERABLE OR DISADVANTAGED PATIENTS SUCH AS THE DISABLED, THE FRAIL ELDERLY, AND THOSE WITH MULTIPLE CHRONIC CONDITIONS.

AMOUNTS EXPENDED
-0-

DATE OF REPORTS
AUDITED FINANCIAL STATEMENTS AS OF 12/31/19 DATED 4/20/20
GRANTEE REPORTS DATED 4/10/2020, 6/12/2020, 12/14/2021

DIVERSION OF FUNDS
TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED.

VERIFICATION
THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORTS FROM THE PRI RECIPIENT; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WAS MADE.

SEE STATEMENT 22 FOR DETAIL ON GRANT EXPENDITURE RESPONSIBILITY TO CIVICA, INC.

GENERAL EXPLANATION STATEMENT 22

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

FORM 990-PF, PART VII-B, LINE 5C - EXPENDITURE RESPONSIBILITY

EXPLANATION:

GRANTEE
CIVICA, INC.
2912 W. EXECUTIVE PKWY
LEHI, UTAH 84043

DATES AMOUNTS
9/4/2018 $1,000,000

PURPOSE
TO SUPPORT PROGRAMS, INITIATIVES AND ACTIVITIES CARRIED OUT THROUGH MEMBER HOSPITALS AND HEALTH SYSTEMS THAT PROVIDE HIGH-NEEDS POPULATIONS WITH TIMELY ACCESS TO ESSENTIAL GENERIC DRUGS AT AFFORDABLE PRICES. HIGH-NEEDS POPULATIONS INCLUDE POPULATIONS IN THE FEDERAL GOVERNMENT'S 340B PROGRAM FOR DISCOUNTED DRUG PRICING FOR UNINSURED AND LOW- INCOME INDIVIDUALS. THIS POPULATION INCLUDES UNINSURED PATIENTS, PATIENTS AT OR BELOW 150% OF POVERTY LEVELS, PATIENTS WITH HIGH HEALTH CARE SPENDING (E.G., TOP 10%) AND IMPOVERISHED OR OTHERWISE VULNERABLE OR DISADVANTAGED PATIENTS SUCH AS THE DISABLED, THE FRAIL ELDERLY, AND THOSE WITH MULTIPLE CHRONIC CONDITIONS.

AMOUNTS EXPENDED
$1,000,000

DATE OF REPORTS
GRANTEE REPORTS DATED 4/10/2020, 6/12/2020, 12/14/2021

DIVERSION OF FUNDS
TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED.

VERIFICATION
THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORTS FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WAS MADE.